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## Recurrent rearrangements in synaptic and neurodevelopmental genes and shared biologic pathways in schizophrenia, autism, and mental retardation

Audrey Guilmatre<sup>1</sup>, Christèle Dubourg<sup>2</sup>, Anne-Laure Mosca<sup>1</sup>, Solenn Legallic<sup>1</sup>, Alice Goldenberg<sup>3</sup>, Valérie Drouin-Garraud<sup>3</sup>, Valérie Layet<sup>4</sup>, Antoine Rosier<sup>5</sup>, Sylvain Briault<sup>6</sup>, Frédérique Bonnet-Brilhault<sup>6</sup>, Frédéric Laumonnier<sup>6</sup>, Sylvie Odent<sup>7</sup>, Gael Le Vacon<sup>8</sup>, Géraldine Joly-Helas<sup>3</sup>, Véronique David<sup>2</sup>, Claude Bendavid<sup>2</sup>, Jean-Michel Pinoit<sup>9</sup>, Céline Henry<sup>9</sup>, Caterina Impallomeni<sup>10</sup>, Eva Germano<sup>10</sup>, Gaetano Tortorella<sup>10</sup>, Gabriella Di Rosa<sup>10</sup>, Catherine Barthelemy<sup>6</sup>, Christian Andres<sup>11</sup>, Laurence Faivre<sup>12</sup>, Thierry Frébourg<sup>1</sup>, Pascale Saugier Veber<sup>1</sup>, and Dominique Champion<sup>1,8,\*</sup>

<sup>1</sup>Génétique médicale et fonctionnelle du cancer et des maladies neuropsychiatriques INSERM : U614, Université de Rouen, UFR de Médecine et de Pharmacie 22, Boulevard Gambetta 76183 ROUEN CEDEX,FR.

<sup>2</sup>IGDR, Institut de Génétique et Développement de Rennes CNRS : UMR6061, Université de Rennes I, IFR140, Faculté de Médecine - CS 34317 2 Av du Professeur Léon Bernard 35043 RENNES CEDEX,FR.

<sup>3</sup>Unité de Génétique Clinique CHU Rouen, Hôpital Charles Nicolle, Rouen,FR.

<sup>4</sup>Unité de Cytogénétique et Génétique Médicale Hôpital Gustave Flaubert, Le Havre,FR.

<sup>5</sup>CRAHN, Centre de Ressources Autisme de Haute Normandie Centre de Ressources Autisme de Haute Normandie, Centre Hospitalier du Rouvray - 4 rue Paul Eluard - BP 45 - 76301 Sotteville-lès Rouen,FR.

\* Correspondence should be addressed to: Dominique Champion dominique.champion@univ-rouen.fr.

**Author Contributions:** Dr Champion had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

*Study concept and design:* Audrey Guilmatre, PhD; Christèle Dubourg, PhD; Anne Laure Mosca, MD; Alice Goldenberg, MD; Valérie Drouin-Garraud, MD; Valérie Layet, MD; Antoine Rosier, MD; Sylvain Briault, MD; Frédérique Bonnet-Brilhault, MD, PhD; Frédéric Laumonnier, PhD; Sylvie Odent, MD; Gabriella Di Rosa, MD; Christian Andres, MD; Laurence Faivre, MD; Thierry Frébourg, MD, PhD; Pascale Saugier Veber, PhD; Dominique Champion, MD, PhD.

*Acquisition of data:* All authors

*Analysis and interpretation of data:* Audrey Guilmatre, PhD; Christèle Dubourg, PhD; Anne Laure Mosca, MD; Solenn Legallic, BSc; Géraldine Joly-Helas, MD; Pascale Saugier Veber, PhD; Dominique Champion, MD, PhD

*Drafting of the manuscript:* Dominique Champion, MD, PhD

*Critical revision of the manuscript for important intellectual content:* Audrey Guilmatre, PhD; Pascale Saugier Veber, PhD; Dominique Champion, MD, PhD

*Statistical analysis:* Gael Le Vacon, MD

*Obtained funding:* Thierry Frébourg, MD, PhD; Pascale Saugier Veber, PhD; Dominique Champion, MD

*Study supervision:* Dominique Champion, MD, PhD

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Since the submission of this manuscript additional reports documenting shared CNVs between MR, ASD and/or schizophrenia have been published<sup>9, 36, 37</sup>.

<sup>6</sup>Imagerie et cerveau INSERM : U930, Université François Rabelais - Tours, Hôpital Bretonneau 1 Bd Tonnelles 37044 Tours,FR.

<sup>7</sup>Service de génétique médicale CHU Rennes, Hôpital Sud, 16 boulevard de Bulgarie BP 90347 35025 Rennes,FR.

<sup>8</sup>Centre hospitalier spécialisé du Rouvray Centre hospitalier spécialisé du Rouvray, 76301 Sotteville-les-Rouen,FR.

<sup>9</sup>CRAB, Centre de Ressources Autisme de Bourgogne CHU Dijon, Centre de Ressources Autisme de Bourgogne, Dijon,FR.

<sup>10</sup>Department of Medical and Surgical Pediatrics University Hospital, Messina, University Hospital, Messina,IT.

<sup>11</sup>Dynamique et pathologie du développement cérébral INSERM : U619, Université François Rabelais - Tours, Hopital Bretonneau 2, Boulevard Tonnelles 37044 TOURS CEDEX 1,FR.

<sup>12</sup>Centre de Génétique CHU Dijon, Hôpital d'enfants, Université de Bourgogne, FR.

## Abstract

**Context**—Comparative genomic hybridization (array-CGH) studies have suggested that rare copy number variations (CNVs) at numerous loci are involved in the etiology of mental retardation (MR), autism spectrum disorders (ASD) and schizophrenia.

**Objective**—The goal of the present paper was (i) to provide an estimate of the collective frequency of a set of recurrent/overlapping CNVs in three different groups of patients as compared with healthy controls and (ii) to assess whether each CNV is present in more than one clinical category.

**Design, setting and population**—We have investigated 28 candidate loci previously identified by array-CGH studies for gene dosage alteration in 247 subjects with MR, 260 with ASD, 236 with schizophrenia or schizoaffective disorder and 236 healthy controls.

**Main outcome measures**—Collective and individual frequency of the analyzed CNVs in patients as compared with controls.

**Results**—Recurrent or overlapping CNVs were found in patients at 40% of the selected loci. We show that the collective frequency of CNVs at these loci is significantly increased in autistic patients, patients with schizophrenia and patients with MR as compared with controls ( $p=0.005$ ,  $p<0.001$  and  $p=0.001$  respectively, Fisher exact test). Individual significance ( $p=0.02$ ) was reached for association between autism and a 350 kb deletion located in 22q11 and spanning the *PRODH* gene.

**Conclusions**—These results support the hypothesis that weakly to moderately recurrent CNVs, either transmitted or occurring *de novo*, are causing or contributory factors for these diseases. Second, we show that most of these CNVs, which contain genes involved in neurotransmission or synapse formation and maintenance, are present in the 3 pathological conditions, supporting the existence of shared biological pathways between these neurodevelopmental disorders.

## Keywords

Adolescent; Adult; Autistic Disorder; diagnosis; genetics; Case-Control Studies; Chromosome Mapping; statistics & numerical data; Comparative Genomic Hybridization; statistics & numerical data; Female; Gene Dosage; genetics; Gene Frequency; Genotype; Humans; In Situ Hybridization, Fluorescence; statistics & numerical data; Male; Mental Retardation; diagnosis; genetics; Neurogenesis; Oligonucleotide Array Sequence Analysis; Proline; blood; Psychotic Disorders; diagnosis; genetics; Schizophrenia; diagnosis; genetics

The development of microarray based technologies for comparative genomic hybridization (array-CGH) analysis has enabled the detection of submicroscopic microdeletions or microduplications also referred as copy number variations (CNVs). In the past months, this approach has been widely used in neurological and psychiatric disorders including mental retardation (MR)<sup>1-3</sup>, autism spectrum disorders (ASD)<sup>4-7</sup> and schizophrenia<sup>8-11</sup>. These studies have suggested that several genes involved in similar neurodevelopmental pathways may be associated with these conditions. However, so far only rare structural variants, sometimes present in a single patient have been identified. It is therefore difficult to decipher which of these variations are causative, which are risk factors and which are only rare polymorphisms unrelated to any pathological phenotype. It is usually considered that *de novo* rearrangements are likely to be pathogenic, but this argument which is acceptable for rare large rearrangements detectable by conventional cytogenetics, should be considered with caution for smaller CNVs, for which a very high mutation rate is expected. Indeed, it has been estimated that one *de novo* segmental deletion occurs in one per eight newborns and one segmental duplication in one per 50 newborns, most of these rearrangements being benign polymorphic variants<sup>12</sup>. Therefore, the disease association of CNVs has to be tested systematically by comparing the frequency of each candidate CNV in patients and controls. Given the very low frequency of each CNV, this would require the study of huge series, only achievable in the context of forthcoming meta-analyses. Another problem arises from the fact that the ascertainment of most of the published samples, initially recruited for linkage studies, is biased toward multiplex cases and that control samples, when present, are generally composed of subjects not screened for the studied pathologies. The goal of the present paper was (i) to provide an estimate of the collective frequency of a set of recurrent/overlapping CNVs in three different groups of patients as compared with healthy controls (ii) to assess whether each CNV is present in more than one clinical category.

## Material and Methods

### Ascertainment and diagnoses

Patients with schizophrenia and subjects with mental retardation were ascertained at Rouen Hospital from consecutive hospitalizations in patients with schizophrenia, or from consecutive referral for phenotypic and genetic investigations in subjects with intellectual disability. The ASD sample included patients ascertained from consecutive consultations in four units specialized in autism diagnosis and evaluation located at Rouen, Dijon, Tours (France) and Messina (Italy) and patients directly referred by the French Autism Foundation. Controls, all ascertained at Rouen, were screened with a standardized data sheet derived from the SADS-LA 13 and were required to be free of any psychotic disorder or MR in themselves or in their first degree relatives. All psychiatric diagnoses were established according to DSM4 criteria following review of case notes and direct examination of subjects. The schedule for affective disorder and schizophrenia (SADS-LA) 13 was used for the clinical assessment of all patients with schizophrenia or schizoaffective disorder, the Autism Diagnostic Interview-Revised (ADI-R) 14, the Autism Diagnostic Observation Schedule-general (ADOS-G) 15 or the Childhood Autism Rating Scale (CARS)<sup>16</sup> were used for 83% of ASD patients (100% of ASD patients with CNVs). IQs were evaluated using standardized neuropsychological tests i.e. validated mental age-appropriate Weschler scales (WPPSI, WISC or WAIS).

The schizophrenia group included 189 subjects with a diagnosis of schizophrenia and 47 with a diagnosis of schizoaffective disorder. Post morbid IQs were available for 2/3 of patients with schizophrenia; 18% of these subjects had an IQ < 70. The ASD group included 257 patients with autism and 3 with Asperger syndrome. The MR group included 12 patients with developmental language disorder. All MR patients and 2/3 of ASD patients were examined by an experienced clinical geneticist and were also screened for fragile X mutation and karyotype

abnormalities. Subjects with large chromosomal anomalies, fragile X syndrome or other established syndromes were not included. Subjects with common environmental etiologies of MR such as fetal alcohol syndrome or birth complications were also excluded. Additional clinical features including intrauterine or postnatal growth retardation, dysmorphic features or malformations were present in 8.5 % of ASD patients and 62 % of subjects with MR. Demographic characteristics of the sample, including a total of 979 Caucasian subjects from France or Italy, are summarized in Table 1.

Blood samples were drawn, after written informed consent, from all included subjects and whenever possible from parents and affected relatives of patients. Ethics committee approval was obtained from all regions where families were recruited.

### Candidate genes and QMPSF analysis

A medline search with the words CNV, schizophrenia, autism and mental retardation allowed us to select non exhaustively a set of 28 loci with microrearrangements characterized by prior array-CGH analyses, often in a single patient. This set included major candidate CNV loci identified in ASD and SZ before April 2008 as well as 8 functionally related CNV loci identified in MR (Table 2). Each locus contained generally a single disease-associated CNV, but in some cases overlapping CNVs with different boundaries had been described in patients. The gene content of these loci ranged from 1 to 28. At each locus at least one candidate gene had been previously suggested in the seminal reports and was retained for the present analysis. Functionally, most of these candidate genes can be classified in two main categories related to synapse formation and maintenance or neurotransmission.

Copy number variation at each locus was assessed by quantitative multiplex PCR of short fluorescent fragments (QMPSF), a method based on the simultaneous amplification of several short genomic fragments under quantitative conditions<sup>17</sup>. For each locus, amplicons were designed in the coding sequence of selected candidate genes. All assays were grouped in three multiplex PCR experiments including 10 short genomic fragments (range 101–301bp) each. Primer sequences and PCR conditions are summarized in supplementary Table S1. DNA fragments generated by QMPSF were separated on an ABI Prism 3100 sequencer (Applied Biosystem) and the resulting fluorescence profiles were analyzed using the Gene Scan 3.7 Software (PE Applied Biosystems). For each patient, the QMPSF profile was superimposed to that generated from a reference subject by adjusting to the same level the peak obtained for a control amplicon corresponding to a short exonic fragment of the PBGD gene. When a copy number variation was detected, further analyses aiming to confirm and delineate the size of the rearrangements were performed using additional dedicated QMPSF assays (supplementary figure S1), array-CGH and/or fluorescence in situ hybridization (FISH) analyses.

### Agilent 44K array

Oligonucleotide array-CGH was performed using the Agilent Human Genome CGH microarray 4x44K (Agilent Technologies, Santa Clara, CA, USA). This array contains 44 290 60-mer oligonucleotide probes covering the whole genome with an average spatial resolution of  $\approx$  30–35 kb. 84% of the probes reside in intragenic regions, and over 30 000 genes are each represented by at least one probe. All experiments were performed according to version 4.0 (June 2006) of the protocol provided by Agilent (“Agilent Oligonucleotide Array-Based CGH for Genomic DNA Analysis”).

### Fluorescence in situ hybridization (FISH)

FISH analyses were performed on metaphase spreads obtained from peripheral lymphocytes from the patients. Selected Human genomic BAC clones were obtained from the BACPAC resources center (<http://bacpac.chori.org>).

## DNA sequencing and paternity checking

Sequence analysis of the coding exons of the *PRODH* gene was performed using primers and PCR conditions previously described<sup>18</sup> on an Applied Biosystem model 3100 automated sequencer (PE Applied Biosystem). Paternity was checked by microsatellite typing.

## Determination of plasma proline level

In patients, plasma proline levels were determined after overnight fasting. All samples were analyzed using ion exchange chromatography on a BIOTRONIK LC 3000 system.

## Statistical analysis

Categorical variables were compared by Fisher's exact test. Two hypotheses were tested. First, the distribution of the collective set of recurrent/overlapping CNVs found in each group of patients was compared with that found in controls (3 tests). Second, the distribution of each recurrent/overlapping CNV present in our population was compared between each disease group and controls (33 tests). P-values were reported without Bonferroni's correction.

## Results

### CNVs in the control group

Among the 28 loci selected for this study (Table 2), only one CNV identical to a previously described disease-associated one (i.e. a 22q11 350kb deletion spanning the *PRODH* and *DGCR6* genes)<sup>19</sup> was detected in the control group. This deletion, present in a single control subject, had a low frequency (1/236) similar to that previously reported in Japanese<sup>20</sup> and Canadian<sup>21</sup> populations. A common CNV reciprocal to the expected one (i.e. a 350 kb duplication) was also found at the same locus in 6/236 controls. This CNV, also present in 7/236 patients with schizophrenia, 4/260 ASD patients and 9/247 MR patients was clearly a benign polymorphism. Another overlapping reciprocal CNV (i.e. a 490 kb duplication) was detected at the *CHRNA7* locus located on chromosome 15q13.3. This CNV present in 2/236 controls, 1/236 patients with schizophrenia, 1/260 ASD patients and 1/247 MR was equally unrelated to any pathological condition. (Supplementary Table S2)

### Diseases associated CNVs

The proportion of recurrent/overlapping CNVs identified among the 28 selected loci in the present sample totaling 743 affected subjects was 11/28 (40 %). Their collective frequency was 10/236 (4 %) in patients with schizophrenia, 16/260 (6 %) in ASD patients and 13/247 (5.3 %) in MR patients vs 1/236 (0.4 %) in controls, therefore demonstrating a significant excess of these CNVs in each disease group as compared with controls ( $p=0.005$ ,  $p<0.001$  and  $p=0.001$  respectively, Fisher exact test) (Table 3a). Individual significance for association with ASD was reached for the *PRODH/DGCR6* deletion (9/260 in ASD patients vs 1/236 in controls,  $p=0.02$ ). None of the cases had more than one of these 28 CNVs. Among the four most prevalent CNVs, three, located in 22q11, 16p11 and 15q13, were flanked by known regions of segmental duplication and resulted most likely from a non allelic homologous recombination mechanism (NAHR). At the 2p16 locus, the *NRXN1* gene was recurrently disrupted by a set of partially overlapping deletions spanning either the promoter and first exons of neurexin1 alpha or the exons coding for the middle section of this protein as well as for the proximal region of neurexin1 beta. These rearrangements occurred in a region devoid of any segmental duplication and resulted from another mechanism distinct from NAHR.

### Transmission and cosegregation in multiplex sibships

Among 27 families in which transmission was tested (69% of the total sample), it was found that only eight CNVs (located in 8p23, 15q11-q13, 15q13, 16p11 and 22q13) had occurred *de*

*novo* (Table 3a). Paternal age was not significantly different between families with *de novo* and inherited CNVs ( $27.2 \pm 4.7$  y vs  $30.7 \pm 4.6$  y, NS, Mann Whitney U test). In most families, CNVs were transmitted from an apparently unaffected (although not clinically nor neuropsychologically assessed) parent. This includes a partial duplication of the X linked *GRIA3* gene, present in a young male autistic patient, which was inherited from the unaffected mother. The 22q11 350 kb deletion spanning the *PRODH/DGCR6* locus was also transmitted in 11/11 tested cases. *PRODH* encodes for the proline dehydrogenase and *PRODH* deficiency is responsible for type 1 hyperprolinemia, a condition often associated with both cognitive impairment and psychotic symptoms<sup>18</sup>. However, hemizygous deletion of the *PRODH* gene is not sufficient *per se* to result in hyperprolinemia since only 35–50 % of Velo-cardio-facial syndrome (VCFS) patients, all bearing a single copy of *PRODH*, exhibit hyperprolinemia<sup>18, 22</sup>. Indeed, a reduction of more than 50% of the enzymatic activity is generally required to produce hyperprolinemia<sup>18</sup>. The presence of a mutation affecting enzyme activity<sup>23</sup> on the second allele is therefore necessary. To examine this issue, the remaining *PRODH* allele was sequenced in all affected subjects bearing the 350 kb deletion, and, when possible, plasma proline level was assessed. As shown in Table 4, 14/15 patients harbored a genotype predicted to result in a reduction of at least 70% of enzymatic activity. Among the 10 subjects in whom plasma proline level was assessed, 7 had mild to severe hyperprolinemia and 3 had plasma proline level at the upper boundary of normal values.

Cosegregation of the CNV with pathological conditions was examined in 4 multiplex families in which DNA from affected siblings was available. In family 144, the two sibs with schizophrenia both harbored the *PRODH/DGCR6* deletion. In family 11695, the two MR sibs both harbored the 2p16.3 deletion. In family 14390, the 16p11 deletion was present in the proband with developmental language disorder but not in his mentally retarded sib. In family 33, the 16p11 duplication was present in two sibs with schizophrenia as well as in a non affected sibling, but not in a third sibling with schizophrenia.

### Disease specificity

Combining the results of the present study and those of previous reports, we found that, with the possible exception of the maternally derived 15q13 duplication associated with ASD, none of the observed rearrangement was disease-specific. The 22q11<sup>19</sup> and 2p16<sup>1</sup> deletions were found in the three conditions, whereas the 22q13 deletion found in two ASD patients had already been described in ASD and MR<sup>4, 24–26</sup>. The 16p11 and 8p23 rearrangements previously described in ASD<sup>4, 5</sup>, were both found in SZ and also in MR patients for the 16p11 rearrangements. The Xp11.4 duplication spanning the *TSPAN7* gene, previously described in MR and ASD<sup>4, 27</sup>, was found in a patient with SZ, as well as the 17q21 duplication previously described in a patient with MR<sup>28</sup>. Two different sized 15q13 duplications encompassing *APBA2* were found in one ASD and one SZ patient. A partial duplication of the *GRIA3* gene, encompassing the promoter region and the exons coding for the proximal region of *GRIA3* was detected in a single autistic patient. Although slightly different by its size and by the number of duplicated exons, this partial duplication is reminiscent from that recently reported in a patient with MR<sup>29</sup>. At the 8p23 locus, both gain and loss of material were found, as well as at the 16p11 and 17q21 loci, as recently described<sup>28, 30, 31</sup>. This suggests that dosage-sensitive genes, whose expression is finely tuned, are located within these rearranged segments.

### Comorbidity

From a phenotypic point of view, it is noteworthy that 3 out of 9 patients with schizophrenia bearing a candidate CNV had mild MR in an IQ assessment obtained after the onset of their psychotic symptoms (Table 3b). Although postmorbid IQ is likely to constitute an underestimate of the premorbid level of cognitive functioning in patients with schizophrenia, cognitive deficits testified by severe learning disorder were already noted in these 3 patients

during childhood before the onset of their psychotic symptoms, thus supporting the diagnosis of comorbidity between MR and SZ. With the exception of two subjects who had normal cognitive functioning (high-functioning autism), all tested CNV bearing autistic patients had IQs falling in the range of mental retardation, albeit one of them had only mild cognitive dysfunction (Table 3b).

## Comments

After a first wave of CNV discovery by array-CGH analyses in neuropsychiatric disorders, this study for the first time examines the involvement of a limited number of candidate loci in large samples of patients with different clinical diagnoses. Two strengths of our study design are the inclusion of controls carefully screened for the studied pathologies and of series of patients mostly ascertained through consecutive admissions or consultations and therefore including subjects belonging either to simplex or multiplex families. Given the expected rarity of each variant, our first goal was not to test the association of every individual CNV with SZ, ASD or MR but to determine whether these variants were collectively more frequent in patients with these diseases than among healthy controls. This aim was successfully achieved and, in addition, we were able to obtain suggestive statistical significance for the association between the 22q11 350 kb deletion and ASD. This deleted segment, located within the chromosomal region deleted in VCFS, a contiguous gene syndrome known to be associated with a high frequency of MR, ASD and psychosis, contained two genes *PRODH* and *DGCR6*. Although we cannot exclude an involvement of *DGCR6* in the neuropsychiatric phenotype of the subjects bearing this CNV, previous work from our group strongly suggests that *PRODH* is the prime candidate. We had previously shown that hyperprolinemia, resulting from the partial or total inactivation of this enzyme (i) may lead to MR and autism in patients with type 1 hyperprolinemia 18 (ii) is a risk factor for schizoaffective disorder 32 (iii) is inversely correlated with IQ in the VCFS 18. Here, we show that all but one patients harboring this deletion were in fact compound heterozygotes, also bearing mutations affecting enzymatic activity on the second allele. It results in a loss of at least 70% of the predicted *PRODH* residual activity in 14/15 subjects and in hyperprolinemia in 7/10 assessed subjects.

Second, we show that both *de novo* CNVs and CNVs inherited from an apparently healthy parent can be found in patients. For transmitted CNVs, the mode of inheritance of the disease was, in some cases recessive (e.g. hyperprolinemia related to the 22q11 deletion) or implied the transmission of an X linked gene by a woman to her son (*GRIA3*). Consistent with previous reports 4·8<sup>33</sup>, the 16p11 rearrangements were inherited from an apparently non affected parent in three families. These CNVs, whose estimated frequency in Icelandic population was 5/18,834 (0.03%) for the duplication and 2/18,834 (0.01%) for the deletion<sup>31</sup>, should therefore be considered as risk factors rather than fully causative variations. The presence of affected siblings that do not share the CNV, already noted in a previous report 33, does not necessarily rule out the causative implication of these CNVs, but raises the question of intra-familial genetic heterogeneity. This hypothesis, which is not un-plausible for these frequent disorders often characterized by assortative mating, remains speculative since the parents and their relatives were not psychiatrically nor cognitively assessed in these families.

Third and more importantly, our study confirms and extends the conclusions of several recent reports suggesting that a large number of candidate CNVs are not disease-specific but are involved in the expression of different behavioral phenotypes including MR, ASD and SZ. This implies the existence of shared biological pathways between these three neurodevelopmental conditions. These pathways affect chiefly synapse formation and maintenance as well as neurotransmission with a special emphasis on glutamate and GABA. The dysfunction of specific neuronal networks underlying the peculiar symptomatology of each clinical condition most likely depends on additional genetics, epigenetics and

environmental factors which remain to be characterized. From a clinical point of view, it should be stressed that despite the diversity of categorical diagnoses, many subjects harboring these CNVs shared some clinical features: 1/3 of patients with schizophrenia and 80% of autistic patients with CNVs had a level of cognitive functioning which falls in the range of mental retardation. This is in accordance with previous reports showing that that point prevalence of schizophrenia is increased by a factor of 3 in subjects with intellectual disabilities 34 and that 3/4 of autistic patients have mental retardation<sup>35</sup>. However, it should be remembered that (i) because attention and communication are markedly impaired in children with autism, assessment of their IQs (even performance IQs in non verbal subjects) are notoriously unreliable and (ii) these results were not obtained in a single community based population, but in three disease groups ascertained according to different schemes, a factor whose exact impact is difficult to appreciate but which is likely to have implications related to the phenotypic severity of these subject.

Finally, we would like to point out the utility of targeted methods for CNV analysis, such as the QMPSF method, as a cost effective alternative to a-CGH for the screening of candidate loci in large case-control cohorts. We plan to conduct extensive resequencing of these candidate genes in order to further validate their role in these conditions.

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**Table 1****Demographic and clinical features of the sample**

|                   | age (y)     | % Male | % Familial | % syndromic | Geographic ancestry     |
|-------------------|-------------|--------|------------|-------------|-------------------------|
| ASD (n= 260)      | 11.8 ± 7.6  | 80     | 9.6        | 8.5         | France and Italy (n=29) |
| MR (n= 247)       | 13.2 ± 11.6 | 64.8   | 34         | 62          | North western France    |
| SZ (n= 236)       | 38 ± 11     | 66.8   | 23.7       | /           | North western France    |
| Controls (n= 236) | 39.5 ± 16.8 | 43.8   | /          | /           | North western France    |

ASD: autism spectrum disorder, SZ: schizophrenia and schizoaffective disorder, MR: mental retardation

**Table 2**  
**Candidate regions and genes selected for QMPSF analysis**

| Chromosomal location | Type      | Candidate Genes        | Pathways                          | Function  | Evidence | Disorder        | Selected references    |
|----------------------|-----------|------------------------|-----------------------------------|---|----------|-----------------|------------------------|
| 2p16.3               | loss      | NRXN1                  | synapse formation and maintenance | cell adhesion molecule                                  | CR       | ASD, SZ, MR     | 1, 4, 7, 8, 10, 38, 39 |
| 2q12.3-q14.2         | loss/gain | DPP10                  | neurotransmission                 | dipeptidyl peptidase                                    | CR       | ASD             | 4                      |
| 2q33.3-q34           | loss      | ERBB4                  | synapse formation and maintenance | NRG1 receptor   | CR, M    | SZ              | 8                      |
| 3p26-p25             | loss/gain | CNTN4                  | synapse formation and maintenance | Axon-associated cell adhesion molecule                  | CR, M    | ASD, MR         | 40, 41                 |
| 3p26.1-p25.2         | loss      | GRM7                   | neurotransmission                 | glutamate receptor                                      | CR       | SZ              | 8                      |
| 3q26.31              | gain      | NLGN1                  | synapse formation and maintenance | cell adhesion molecule                                  | CR       | MR              | 42                     |
| 4p14-q21.1           | gain      | GABRG1, GABRA4, GABRA2 | neurotransmission                 | GABA receptor subunits                                  | CR       | ASD             | 4, 43                  |
| 5p13                 | loss      | SLC1A3                 | neurotransmission                 | excitatory amino acid transporter                       | CR, M    | SZ              | 8                      |
| 7q31.1               | loss      | ST7                    | other                             | tumor suppressor  | CR, M    | ASD             | 4                      |
| 7q35-q36             | loss      | CNTNAP2                | synapse formation and maintenance | contactin associated protein                            | CR, M    | ASD, SZ, MR, TS | 44                     |
| 8p22-p11             | loss      | NRG1                   | synapse formation and maintenance | signaling protein                                       | CR       | MR              | 45                     |
| 8p23                 | gain      | DLGAP2                 | neurotransmission                 | associated to NMDA receptor and K <sup>+</sup> channels | CR       | ASD             | 4                      |
| 8q24-qter            | gain      | PTK2                   | neuronal migration and growth     | focal adhesion kinase                                   | CR       | SZ              | 8                      |
| 11q21                | loss      | DLG2                   | synapse formation and maintenance | synaptic scaffolding protein                            | CR       | SZ              | 8                      |
| 12q14.3              | loss      | GRIP1                  | neurotransmission                 | glutamate receptor interacting protein                  | CR       | MR              | 1, 3                   |
| 15q11-q14            | gain      | GABRA5, GABRB3, GABRG3 | neurotransmission                 | GABA receptor subunits                                  | CR       | ASD, MR         | 46, 47                 |
| 15q13                | gain      | APBA2                  | neurotransmission                 | synaptic exocytosis                                     | CR       | ASD, SZ         | 6, 10                  |
| 15q13.3              | loss      | CHRNA7                 | neurotransmission                 | acetylcholine receptor                                  | CR       | MR              | 48                     |
| 16p11.2              | loss/gain | DOC2A                  | neurotransmission                 | neurotransmitter release regulation                     | CR       | ASD, SZ         | 4, 6, 8, 31, 33        |
| 17q21                | loss/gain | MAPT                   | other                             | microtubule associated protein                          | CR       | MR              | 28, 30                 |
| 22q11                | loss      | PRODH                  | neuromodulation                   | proline dehydrogenase                                   | CR, M    | ASD, SZ, MR     | 19                     |

| Chromosomal location | Type      | Candidate Genes | Pathways                          | Function                       | Evidence | Disorder    | Selected references |
|----------------------|-----------|-----------------|-----------------------------------|--------------------------------|----------|-------------|---------------------|
| 22q13                | loss      | SHANK3          | synapse formation and maintenance | synaptic scaffolding protein   | CR, M    | ASD, MR     | 4, 24-26            |
| Xp22.3               | loss/gain | NLGN4           | synapse formation and maintenance | cell adhesion molecule         | CR, M    | ASD, TS, MR | 4, 49-52            |
| Xp11.4               | gain      | TSPAN7          | neuronal migration and growth     | transmembrane component        | CR, M    | ASD, MR     | 4, 27               |
| Xp11.4               | loss      | CASK            | synapse formation and maintenance | synaptic scaffolding protein   | CR       | MR          | 2                   |
| Xp22.1-p21.3         | loss      | IL1RAPL1        | other                             | interleukin receptor           | CR, M    | ASD, MR     | 4, 53               |
| Xq25                 | gain      | GRIA3           | neurotransmission                 | Kainate receptor subunit       | CR       | MR          | 29                  |
| Xq28                 | gain      | MECP2           | other                             | methylated CpG binding protein | CR, M    | MR          | 42, 54              |

CR: Chromosomal rearrangement, M: mutation, TS: Tourette syndrome

**Table 3**  
**Recurrent CNVs and clinical features in ASD, SZ and MR patients**

| Patients ID | diagnosis | CNV chromosomal location | Size    | Last outer margin (bp) | First inner margin (bp) | Last inner margin (bp) | First outer margin (bp) | genes involved                      | type | Confirmation       | transmission           |
|-------------|-----------|--------------------------|---------|------------------------|-------------------------|------------------------|-------------------------|-------------------------------------|------|--------------------|------------------------|
| 113         | SZ        | Xp11.4                   | 56 kb   | 38,326,267             | 38,376,483              | 38,432,836             | 38,549,143              | TSPAN7                              | dup  | QMPSF, a-CGH       | unknown                |
| 313         | SZ        | 8p23                     | 110 kb  | 1,436,299              | 1,481,035               | 1,590,831              | 1,642,837               | DLGAP2                              | dup  | QMPSF, a-CGH       | unknown                |
| 185         | SZ        | 15q13                    | 994 kb  | 26,999,744             | 27,000,694              | 27,994,706             | 28,109,371              | APBA2, TIPI, NDNL2                  | dup  | QMPSF, a-CGH       | unknown                |
| 144.1       | SZ        | AFF                      | 22q11   | 350 kb                 |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | unknown*               |
| 223         | SZ        | 2p16.3                   | 107 kb  | 50,704,195             | 51,006,556              | 51,114,057             | 51,433,167              | NRXN1 alpha exons 1,2               | del  | QMPSF, a-CGH       | unknown                |
| 220         | SZ        | 2p16.3                   | <532 kb | 50,172,024             | 50,420,164              | 50,420,164             | 50,704,195              | NRXN1 alpha/beta                    | del  | QMPSF, a-CGH       | unknown                |
| 33          | SZ        | 16p11                    | 500 kb  |                        |                         |                        |                         | DOC2A and 24 genes                  | dup  | QMPSF              | maternally inherited** |
| 136         | SZ        | 16p11                    | 500 kb  |                        |                         |                        |                         | DOC2A and 24 genes                  | dup  | QMPSF              | de novo                |
| 146         | SZ, AFF   | 16p11                    | 500 kb  |                        |                         |                        |                         | DOC2A and 24 genes                  | dup  | QMPSF              | unknown                |
| 151         | SZ        | 17q21                    | 627 kb  | 40,869,151             | 41,073,486              | 41,700,762             | 42,143,048              | MAPT and 2 genes                    | dup  | QMPSF, a-CGH       | unknown                |
| T 35        | autism    | 2p16.3                   | <427 kb | 51,006,556             | 51,114,057              | 51,114,057             | 51,433,167              | NRXN1 alpha exons 1,2               | del  | QMPSF, a-CGH, FISH | paternally inherited   |
| 45431       | autism    | 2p16.3                   | 107 kb  | 50,704,195             | 51,006,556              | 51,114,057             | 51,433,167              | NRXN1 alpha exons 1,2               | del  | QMPSF, a-CGH       | maternally inherited   |
| 47604       | autism    | 22q13                    | 2,26 Mb | 47,124,905             | 47,265,476              | 49,525,071             | -----                   | SHANK3 and 28 genes                 | del  | QMPSF, a-CGH       | de novo                |
| S122        | autism    | 22q13                    | ND      |                        |                         |                        |                         | SHANK3                              | del  | QMPSF, MLPA        | de novo                |
| 60478       | autism    | 15q11-q13                | 4 Mb    |                        |                         |                        |                         | GABRA5, GABRB3, GABRG3 and 17 genes | dup  | QMPSF, FISH        | de novo                |
| T 34        | autism    | Xq25                     | 1,42 Mb | 120,669,107            | 120,746,477             | 122,166,142            | 122,316,448             | GRIA3 exons 1-4                     | dup  | QMPSF, a-CGH, FISH | maternally inherited   |
| 12746       | HFA       | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | paternally inherited   |
| 12452       | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | paternally inherited   |
| 13899       | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | unknown                |
| 44737       | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | paternally inherited   |
| 45435       | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | unknown                |
| 45856       | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | maternally inherited   |
| 46261       | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | paternally inherited   |
| 47766       | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | paternally inherited   |
| S130        | autism    | 22q11                    | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6                        | del  | QMPSF              | maternally inherited   |

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Table 3a

| Patients ID | diagnosis | chromosomal location | Size    | Last outer margin (bp) | First inner margin (bp) | Last inner margin (bp) | First outer margin (bp) | genes involved             | type | Confirmation | transmission             |
|-------------|-----------|----------------------|---------|------------------------|-------------------------|------------------------|-------------------------|----------------------------|------|--------------|--------------------------|
| 44813       | HFA       | 15q13                | 3.8 Mb  | 26,198,996             | 26,999,744              | 30,796,716             | 30,812,300              | APBA2, CHRNA7 and 16 genes | dup  | QMPSF, a-CGH | maternally inherited     |
| 12363       | MR        | 22q11                | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6               | del  | QMPSF        | maternally inherited     |
| 11780       | MR        | 22q11                | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6               | del  | QMPSF        | paternally inherited     |
| 9680        | MR        | 22q11                | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6               | del  | QMPSF        | maternally inherited     |
| 14684       | MR        | 22q11                | 350 kb  |                        |                         |                        |                         | PRODH, DGCR6               | del  | QMPSF        | maternally inherited     |
| 11695       | MR        | 2p16.3               | <427 kb | 51,006,556             | 51,114,057              | 51,114,057             | 51,433,167              | NRXN1 alpha exon 1         | del  | QMPSF, a-CGH | maternally inherited**** |
| 14921       | MR        | 16p11                | 500 kb  |                        |                         |                        |                         | DOC2A and 24 genes         | del  | QMPSF, FISH  | de novo                  |
| 10417       | MR        | 16p11                | 500 kb  |                        |                         |                        |                         | DOC2A and 24 genes         | del  | QMPSF, FISH  | maternally inherited     |
| 13165       | MR        | 16p11                | 500 kb  |                        |                         |                        |                         | DOC2A and 24 genes         | dup  | QMPSF, FISH  | maternally inherited     |
| 14390       | MR        | 16p11                | 500 kb  |                        |                         |                        |                         | DOC2A and 24 genes         | del  | QMPSF, FISH  | de novo***               |
| 13907       | MR        | 15q13                | 1,57 Mb | 28,109,371             | 28,725,507              | 30,298,096             | 30,701,373              | CHRNA7 and 5 genes         | del  | QMPSF, a-CGH | unknown                  |
| 11919       | MR        | 15q13                | 1,57 Mb | 28,109,371             | 28,725,507              | 30,298,096             | 30,701,373              | CHRNA7 and 5 genes         | del  | QMPSF, a-CGH | unknown                  |
| 9930        | MR        | 15q13                | 1,57 Mb | 28,109,371             | 28,725,507              | 30,298,096             | 30,701,373              | CHRNA7 and 5 genes         | del  | QMPSF, a-CGH | de novo                  |
| 12988       | MR        | 8p23                 | 3 Mb    |                        |                         |                        |                         | DLCAP2 and 23 genes        | del  | QMPSF, HRK   | de novo                  |

Table 3b

| Patients ID | diagnosis | sex | age (y) | family history | CNV         | Clinical features  |                     |
|-------------|-----------|-----|---------|----------------|-------------|--------------------|---------------------|
|             |           |     |         |                |             | Cognitive features | Associated features |
| 113         | SZ        | M   | 52      | sporadic       | dup(Xp11.4) | IQ, 105            |                     |
| 313         | SZ        | M   | 32      | familial       | dup(8p23)   | IQ, 67             | D                   |
| 185         | SZ        | M   | 48      | sporadic       | dup(15q13)  | IQ, 73             |                     |
| 144.1       | SZ AFF    | F   | 42      | familial       | del(22q11)  | IQ, 48             |                     |
| 223         | SZ        | M   | 38      | sporadic       | del(2p16.3) | IQ, 94             |                     |
| 220         | SZ        | M   | 25      | unknown        | del(2p16.3) | NA                 |                     |
| 33          | SZ        | F   | 53      | familial       | dup(16p11)  | IQ, 56             |                     |
| 136         | SZ        | F   | 41      | sporadic       | dup(16p11)  | IQ, 75             |                     |
| 146         | SZ AFF    | M   | 32      | familial       | dup(16p11)  | IQ, 95             |                     |
| 151         | SZ        | M   | 53      | sporadic       | dup(17q21)  | IQ, 90             |                     |
| T 35        | autism    | F   | 38      | sporadic       | del(2p16.3) | IQ, 66             |                     |



**Table 3b**

| Patients ID | diagnosis | sex | age (y) | family history | CNV            | Clinical features  |                     |
|-------------|-----------|-----|---------|----------------|----------------|--------------------|---------------------|
|             |           |     |         |                |                | Cognitive features | Associated features |
| 45431       | autism    | M   | 10      | sporadic       | del(2p16.3)    | NA                 |                     |
| 47604       | autism    | M   | 8       | sporadic       | del(22q13)     | IQ<40              |                     |
| Si22        | autism    | M   | 9       | sporadic       | del(22q13)     | IQ<40              | D, E                |
| 60478       | autism    | M   | 15      | sporadic       | dup(15q11-q13) | IQ<40              | E                   |
| T_34        | autism    | M   | 10      | sporadic       | dup(Xq25)      | NA                 |                     |
| 12746       | HFA       | M   | 6       | sporadic       | del(22q11)     | IQ, 74             |                     |
| 12452       | autism    | F   | 8       | sporadic       | del(22q11)     | IQ<40              |                     |
| 13899       | autism    | M   | 11      | sporadic       | del(22q11)     | IQ<40              |                     |
| 44737       | autism    | M   | 7       | sporadic       | del(22q11)     | IQ<40              |                     |
| 45435       | autism    | F   | 31      | sporadic       | del(22q11)     | IQ<40              |                     |
| 45856       | autism    | M   | 38      | sporadic       | del(22q11)     | IQ<40              |                     |
| 46261       | autism    | F   | 11      | sporadic       | del(22q11)     | NA                 |                     |
| 47766       | autism    | M   | 8       | sporadic       | del(22q11)     | NA                 |                     |
| Si30        | autism    | F   | 5       | sporadic       | del(22q11)     | IQ<40              | D, E                |
| 44813       | HFA       | M   | 8       | familial       | dup(15q13)     | NA                 |                     |
| 12363       | MR        | M   | 11      | sporadic       | del(22q11)     | Mild MR            | D                   |
| 11780       | MR        | M   | 3       | sporadic       | del(22q11)     | Mod MR             | D                   |
| 9680        | MR        | F   | 11      | familial       | del(22q11)     | Mod MR             | D                   |
| 14684       | MR        | M   | 6       | familial       | del(22q11)     | DLD                |                     |
| 11695       | MR        | F   | 10      | familial       | del(2p16.3)    | Mod MR             |                     |
| 14921       | MR        | M   | 4       | familial       | del(16p11)     | Mild MR            | D                   |
| 10417       | MR        | M   | 14      | familial       | del(16p11)     | Mild MR            | IUGR, D             |
| 13165       | MR        | F   | 4       | sporadic       | dup(16p11)     | Mild MR            | D                   |
| 14390       | MR        | F   | 9       | familial       | del(16p11)     | DLD                |                     |
| 13907       | MR        | F   | 9       | sporadic       | del(15q13)     | Mild MR            | M, D                |
| 11919       | MR        | F   | 7       | familial       | del(15q13)     | Mild MR            |                     |
| 9930        | MR        | M   | 12      | familial       | del(15q13)     | Mild MR            | D                   |
| 12988       | MR        | M   | 8       | sporadic       | del(8p23)      | Mild MR            | M, D, E             |

a: diseases associated CNVs, b: clinical features of patients with CNVs, M: male; F: female, HFA: High-functioning autism, SZ: schizophrenia, SZ AFF: schizoaffective disorder, MR: mental retardation, Mod: moderate, DLD: developmental learning disorder, Del: deletion; dup: duplication, HRK: High resolution karyotype, D: dysmorphism; E: epilepsy; M: microcephaly; IUGR: intrauterine growth retardation, NA: not assessed

- \* deletion also present in a sibling with schizophrenia
- \*\* duplication present in a schizophrenic and a healthy sibling, and not present in a schizoaffective sibling
- \*\*\* deletion not present in a sibling with mental retardation
- \*\*\*\* deletion present in the proband and an affected sibling
- † maternally derived

**Table 4**  
**Genotype and plasma proline level of the *PRODH* deletion bearing patients**

Predicted *PRODH* residual activity was evaluated for each genotype according to the functional data published by Bender et al.<sup>23</sup>. Mutations with severe effect on *PRODH* activity appear in bold, mutations with moderate effect in normal character and mutations with unknown effect in italic. Abnormal plasma proline values appear in bold. Fasting abnormal plasma proline values: age > 18 years: males > 377 μmol/L, females > 316 μmol/L; 5 years > age < 18 years: > 270 μmol/L; age < 5 years: > 235 μmol/L.

| ID     | diagnosis | sex | age | plasma proline (μmol/L) | genotype                  | predicted <i>PRODH</i> residual activity |
|--------|-----------|-----|-----|-------------------------|---------------------------|--|
| 144.1  | SZAFF     | F   | 42  | <b>538</b>              | DEL/R453C+R185W           | 2%                                       |
| 144.2  | SZ        | F   | 39  | <b>338</b>              | DEL/Q19P                  | 30%                                      |
| 12 452 | Autism    | M   | 6   | <b>287</b>              | DEL/R185W                 | 25%                                      |
| 12 746 | HFA       | F   | 8   | 214                     | DEL/Q19P+A58T             | ≤30%                                     |
| 13 899 | Autism    | M   | 11  | <b>312</b>              | DEL/Q19P                  | ≤25%                                     |
| 44 737 | Autism    | M   | 7   | ND                      | DEL/T275N+V427M           | ≤20%                                     |
| 45 435 | Autism    | F   | 31  | ND                      | DEL/R185W+Q19P            | ≤25%                                     |
| 45 856 | Autism    | M   | 38  | ND                      | DEL/Q19P+P30S             | ≤30%                                     |
| 46 261 | Autism    | F   | 11  | <b>243-283</b>          | DEL/R185W+Q19P            | ≤25%                                     |
| 47 766 | Autism    | M   | 8   | ND                      | DEL/WT                    | 50%                                      |
| S130   | Autism    | F   | 5   | <b>422-1883</b>         | DEL/R453C+Q19P+A58T+V427M | ≤2%                                      |
| 12363  | MR        | M   | 11  | <b>512</b>              | DEL/R185W                 | 25%                                      |
| 11780  | MR        | M   | 3   | ND                      | DEL/R185W+Q19P            | ≤25%                                     |
| 9680   | MR        | F   | 11  | <b>299</b>              | DEL/Q19P+P30S             | ≤30%                                     |
| 14684  | MR        | M   | 6   | 238                     | DEL/R185W+Q19P            | ≤25%                                     |

ND: not determined. WT: wild type. HFA: High-functioning autism